



NCPDP Transaction Fields Used for Kentucky PMP Reporting

Introduction

As the data collection vendor for the Kentucky KASPER program, RelayHealth (previously Per-Se / NDCHealth) offers an option to automatically extract a pharmacy’s controlled substance reporting information directly from their adjudication transactions that are sent to the RelayHealth switching network. This method eliminates the need for the pharmacy to submit batch files in order to comply with Kentucky controlled substance reporting requirements.

To use automatic network extraction for data submission, a pharmacy needs to do the following:

- Send all adjudication transaction traffic through the RelayHealth switch (a pharmacy that submits some claims directly to some payers or through a different switch would not meet this qualification).
- Send “Cash BIN” adjudication transactions to the RelayHealth switch for all cash prescription sales (or at least all controlled substance cash prescription sales).

Note. Cash transactions are sent using a special “mock” third party plan and “Cash BIN” for cash patients and transactions. If a pharmacy uses RelayHealth PPE (Pre- and Post-Editing) Cash edits, they are probably already set up to adjudicate cash in this way. Otherwise, please contact RelayHealth and we will provide the appropriate BIN and transaction layout information to accomplish the setup. Note that we **do not** charge a switching fee for these Cash BIN transactions.

- Execute a Network Data Delivery Authorization Amendment that grants RelayHealth the right to use the pharmacy’s transaction data for the purpose of Kentucky KASPER reporting.
- Ensure that claim request transactions (for third party payers and cash) include all data fields required by Kentucky regulations for controlled substance reporting. Those fields are described next.

Fields Required by Kentucky’s Controlled Substance Reporting Regulations

The table below identifies the data fields required by Kentucky’s controlled substance reporting regulations. The table identifies the NCPDP claim field that must be populated to provide the data via the network and the corresponding field that RelayHealth populates in resulting ASAP records sent to Kentucky.

IMPORTANT. Some fields below may not be populated in all claims when using default pharmacy system adjudication setups. Kentucky store system configurations may need to be modified to ensure that the fields below are supplied in all claims (including Cash adjudications).

Source NCPDP Field	Value	Comment	ASAP Target
Transaction Header Segment			
201-B1 SERVICE PROVIDER ID	Use NPI number if assigned (Qualifier = “01”). Otherwise, use NCPDP Provider ID (Qualifier = “07”)	Used with 202-B2 SERVICE PROVIDER ID QUALIFIER. RelayHealth maps ID to Facility DEA used by KY.	Pharmacy Number
401-D1 -- DATE OF SERVICE	Date at time of dispensing	CCYYMMDD	Date Filled
Prescriber Segment			
411-DB PRESCRIBER ID	Prescriber DEA # (Qualifier = “12”)	Used with 466-EZ PRESCRIBER ID QUALIFIER. Typically supplied in controlled substance claims.	Prescriber ID Number

Source NCPDP Field	Value	Comment	ASAP Target
Claim Segment			
402-D2 PRESCRIPTION / SERVICE REFERENCE NUMBER	Facility's Rx number for prescription	Used with 455-EM PRESCRIPTION / SERVICE REFERENCE NUMBER QUALIFIER.	Rx Number
407-D7 PRODUCT / SERVICE ID	NDC11 of dispensed product	Used with 436-D1 PRODUCT / SERVICE QUALIFIER.	NDC Number
442-E7 QUANTITY DISPENSED	Quantity dispensed (in metric decimal units)		Metric Quantity
405-D5 DAYS SUPPLY	Estimated number of days the dispensed quantity will last.		Days Supplied
Patient Segment: Required for Proper PMP Data Extraction and Auto-Submission to Kentucky			
310-CA PATIENT FIRST NAME	Patient's first name	Typically in most claims, but not all – may need added.	Patient First Name
311-CB PATIENT LAST NAME	Patient's last name	Typically in most claims, but not all – may need added.	Patient Last Name
322-CM PATIENT STREET ADDRESS	Patient's street address	Not typically in claims – will likely need added.	Patient Street Address
324-CO PATIENT STATE / PROVINCE ADDRESS	Address state (2-character postal abbreviation, such as KY)	Not typically in claims – will likely need added.	State
325-CP PATIENT ZIP/POSTAL ZONE	Patient's 5- or 9-digit ZIP	Not typically in claims – will likely need added.	Zip Code
304-C4 DATE OF BIRTH	Patient's date of birth	CCYYMMDD. Typically in most claims, but not all – may need added.	Birth Date
332-CY PATIENT ID	Patient SSN preferred (Use Qualifier = "01"). If SSN unavailable, can be Patient Driver License (Use Qualifier = "02")	Used with 331-CX PATIENT ID QUALIFIER. Not typically in claims – will likely need added.	Customer ID Number

Other Fields Reported to Kentucky if Present in Transactions

The table below identifies additional data fields that RelayHealth will also submit to Kentucky if they are present in a claim. However, these fields are not required by Kentucky. The table identifies the NCPDP claim field used as a data source and the corresponding ASAP field that RelayHealth sends to Kentucky.

IMPORTANT. It is **not** necessary to ensure that the fields below are populated in all claims, since they are not required but will be used if present.

Source NCPDP Field	Comment	ASAP Target
Claim Segment		
406-D6 COMPOUND CODE		Compound Code
403-D3 FILL NUMBER		New Refill Code
414-DE DATE PRESCRIPTION WRITTEN		Date Rx Written
415-DF NUMBER OF REFILLS AUTHORIZED		Number of Refills Authorized
419-DJ PRESCRIPTION ORIGIN CODE		Rx Origin Code
Patient Segment		
305-C5 – PATIENT GENDER CODE	"1" = Male, "2" = Female, "0" = Not Specified	Sex
307-C7 – PATIENT LOCATION		Customer Location
Clinical Segment		
424-DO DIAGNOSIS CODE		Rx Origin Code

Treatment of Remaining ASAP95 Fields

The following fields are also defined in the ASAP 95 layout but are not populated from NCPDP claim field data by RelayHealth, either because no equivalent NCPDP field exists or because the information is not used by Kentucky.

- Identifier
- BIN
- Version #
- Transaction Code
- Zip Code (3-digit instance)
- DEA Suffix
- Alternate Prescriber #
- Triplicate Serial Number
- Filler